ANTI-MONEY LAUNDERING (AML) POLICY

Company Name: Maximus Markets Limited

Company Number: 21567 BC 2013

Jurisdiction: Saint Vincent and the Grenadines

Date of Incorporation: 27 August 2013

1. Introduction

Maximus Markets Limited is committed to preventing money laundering, terrorist financing and other financial crimes. The company maintains internal procedures designed to identify, assess and mitigate financial crime risks associated with its operations.

2. Business Activities

The company operates as an international business company providing services related to online trading platforms, financial market access infrastructure and operational services for international clients.

3. Compliance Oversight

The company maintains internal compliance procedures to monitor risks related to financial crime and suspicious activity. Compliance oversight may be conducted by internal management and external compliance advisors.

4. Customer Due Diligence (CDD)

Before establishing business relationships, the company may perform reasonable customer due diligence procedures including identity verification, collection of basic identification information and risk-based assessment of clients.

5. Risk Assessment

The company evaluates risks related to customer profile, geographic location, transaction patterns and the nature of services provided.

6. Monitoring of Transactions

Transactions and account activity may be monitored to detect unusual or suspicious patterns. If suspicious activity is identified, the company may request additional information or suspend services.

7. Record Keeping

Customer identification data, transaction records and compliance documentation are maintained for a minimum period of five years.

8. Restricted Jurisdictions

The company does not intentionally target residents of jurisdictions where unlicensed brokerage services are prohibited, including the United States, United Kingdom, European Union, Canada and Australia.

9. Policy Review

This AML policy may be periodically reviewed and updated to reflect operational changes and compliance standards.